

APPENDIX 1

Regulatory Body	Year	Name of Report	Date Issued	Findings	Council Response	Timescale	Responsible Officer	Where reported	Date
Wales Audit Office	2013/14	Annual Improvement Report	Jun-14	Extracts from summary In 2012-13, the Council made good progress against the improvement priorities we looked at and improved its overall performance against the national indicators. The Council has taken action to strengthen its approach to performance evaluation, but further work is required to harmonise its improvement planning and performance reporting arrangements. During 2013-14, the Council has enhanced its arrangements for planning and supporting improvement, but further action is required to provide the rigour needed to cope with the challenges ahead. No new recommendations or proposals for improvement were made.	As is practice the Council published a response on the issues raised within the report.	On-going	Chief Executive	Cabinet Audit Committee Corporate Resources O&S Committee	Jun-14 Jun-14 Jul-14
Wales Audit Office	2014/15	Improvement Plan 2014/15 - Certificate of Compliance	Aug-14	Certificate 1 The Council has discharged its duties under section 15(6) to (9) of the Measure and has acted in accordance with Welsh Government guidance sufficiently to discharge its duties. There are no new statutory recommendations or proposals for improvement.	In our response we set out how we will make improvements to ensure full compliance with the spirit and detail of the national guidance.	On-going	Chief Executive	Cabinet Audit Committee Corporate Resources O&S Committee	Sept-14 Sept-14 Sept-14
Wales Audit Office	2014/15	Corporate Assessment	Awaited - informal feedback received Oct-14				Chief Executive		
Wales Audit Office	2014/15	Audit of Flintshire County Council's assessment of 2013/14 performance (Annual Performance Report)	Nov-14	Certificate 2 The Council has discharged its duties under sections 15(2), (3), (8) and (9) of the Measure and has acted in accordance with Welsh Government guidance sufficiently to discharge its duties.	A formal response was not made as no issues were raised.	Not Applicable	Chief Executive	Cabinet Audit Committee Corporate Resources O&S Committee	Dec-14 Dec-14 Dec-14

Wales Audit Office	2014/15	Asset Management Review	Aug-14	Informal feedback received - Overall, the Council's asset management arrangements support robust and effective decision making and improvement. Findings will be reported in the Corporate Assessment covered in the Council's Annual Improvement Report issued by WAO (due Jan/Feb 2015)	The findings of this review will be formally reported as part of the Corporate Assessment. A formal response to the Corporate Assessment will be made once it has been received (expected Jan/Feb 2015).		Chief Officer - Organisational Change (2)		
Wales Audit Office	2014/15	National Study: Delivering with less - The impact on environmental health services and citizens	Draft Issued Oct-14	Councils are mostly meeting their statutory environmental health obligations but because of budget and staff cuts, limited transformation work and poor resource planning, environmental health services are at risk of being unable to effectively deliver their current responsibilities or take on new statutory duties to protect the public and the environment in the future. General Feedback for FCC The Council is generally delivering environmental health services at above minimum levels and despite large budget cuts, staff numbers have increased. Whilst stakeholders are mostly positive about the Council's management of services the Council will find it challenging to take on new statutory duties that protect the public and the environment.	Final report awaited; the response to findings for Flintshire will be made once it is received.		Interim Public Protection Manager	Cabinet Environment O&S Committee Audit Committee	TBC TBC TBC
Wales Audit Office	2014/15	National Study: Delivering with less - Leisure Services	Project brief issued Oct-14						
Wales Audit Office	2014/15	National Study: Independence of Older People	Project brief issued Oct-14						
Wales Audit Office	2014/15	Review of Whistleblowing Arrangements	Final report awaited				Head of Internal Audit		
Wales Audit Office	2013/14	Audit of Financial Statements	Sep-14	Unqualified The accounting statements and related notes give a true and fair view of the financial position of Flintshire County Council as at 31 March 2014 and of its income and expenditure for the year. The accounts have been properly prepared in accordance with the Code of Practice on Local Authority Accounting in the UK	No response required.	Not Applicable	Chief Executive	County Council Audit Committee	Sept-14 Sept-14

CSSIW	2013/14	Performance Evaluation Report 2013/14	Oct-14	<p>Generally positive and includes some items of good practice as well as recommendations. Extracts from Summary The council is increasingly realistic about the challenges posed by the need to deliver improving services within the context of reducing financial resources. This has accelerated the drive to greater efficiency, improving strategic partnership working, more integrated services and a growing commitment to regional commissioning. There is evidence of progress in a number of key areas in adult services with more people able to restore and maintain their independence, with relatively few needing residential care. Performance is less consistent in children's services and whilst there is evidence of good work, some planning and reviewing arrangements require strengthening in order to secure more robust quality assurance and the delivery of better outcomes in key areas such as health care and educational attainment.</p>	CSSIW are meeting with Senior Managers to discuss progress. An action plan is in place to address areas for improvement raised by CSSIW.	Various - as in action plan	Chief Officer - Social Services	Social & Health O&S Committee	Dec-14
CSSIW	2014	12 Residential Homes reviewed	Between July and Sept 2014	<p>Willowdale Rhyd-y-Cleifion Ty Cerrig Glyn Rhosyn Coed Duon Care Home The Glynne Bryn Yr Haul Chestnut House Allerton Lodge Bryn Edwin Hall Morfa Newydd Nursing Home Aston Hall Care Home (now closed)</p>	Not Applicable - Residential homes respond directly to CSSIW. The Flintshire Contact Monitoring Team are advised of results and will follow up with the homes where negative reports (escalating concerns) are received to ensure recommendations are actioned.	Various - as per Action Plans	Registered Manager	Social & Health O&S Committee (within Chief Officer Mid Year Report)	Jan-15
CSSIW	2014	Residential Home - Marleyfield House (FCC Home)	Sep-14	<p>Overall people using this service can be confident that they will be treated with respect and dignity. That they have choice on how they live their lives and are supported in the choices they make. Three non compliance notices were issued.</p>	The provider has submitted an action plan which addresses all the issues. Flintshire Contract Monitoring Team have been monitoring progress, and will be going back in January to ensure that all the issues have been satisfactorily managed.	Various- as per Action Plan	Registered Manager	As Above	Jan-15
ESTYN	2014	Schools Inspections	2013/14 School Year	Estyn have undertaken inspections of 18 schools during the 2013/14 school year. Only 1 school is in special measures.	Schools respond to Estyn.	Various - as per Action Plans	School's Govern Body	Lifelong Learning O&S	Oct-14

